|   | PTO-1<br>01-200 |   | E PATENT & TRADEMARK OFFICE                                | ATTORNE DOCKET NUMBER<br>123926 |  |  |  |  |  |  |  |
|---|-----------------|---|--|---------------------------------|--|--|--|--|--|--|--|
| •   |                 | ANSMITTAL LETTER TO T<br>DESIGNATED/ELECTED OF<br>ONCERNING A FILING UN   | U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/535,136 |                                 |  |  |  |  |  |  |  |
|   | RNA             | FIONAL APPLICATION NO.<br>202/012304  | PRIORITY DATE CLAIMED                                      |                                 |  |  |  |  |  |  |  |
| PCT/JP2002/012304 November 26, 2002  TITLE OF INVENTION METHOD FOR SYNTHESIZING MACROSPHELIDES                                  |                 |   |  |                                 |  |  |  |  |  |  |  |
| APPLICANTS FOR DO/EO/US<br>Hideo NEMOTO et al.  |                 |   |  |                                 |  |  |  |  |  |  |  |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: |                 |   |  |                                 |  |  |  |  |  |  |  |
| 1.  |                 | ☐ This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.   |  |                                 |  |  |  |  |  |  |  |
| 2.  | $\boxtimes$     | This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.   |  |                                 |  |  |  |  |  |  |  |
| 3.  | $\boxtimes$     | This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. |  |                                 |  |  |  |  |  |  |  |
| 4.  |                 | The US has been elected (Article 31).   |  |                                 |  |  |  |  |  |  |  |
| 5.  |                 | A copy of the International Application as filed (35 U.S.C. 371(c)(2))  |  |                                 |  |  |  |  |  |  |  |
|   |                 | a.  is attached hereto (required only if not communicated by the International Bureau).   |  |                                 |  |  |  |  |  |  |  |
|   |                 | b.  |  |                                 |  |  |  |  |  |  |  |
|   |                 | c.  | cation was filed in the United States                      | s Receiving Office (RO/US).     |  |  |  |  |  |  |  |
| 6.  |                 | An English language translation of the International Application as filed (35 U.S.C. 371(c)(2))   |  |                                 |  |  |  |  |  |  |  |
|   |                 | a.  is attached hereto.   |  |                                 |  |  |  |  |  |  |  |
|   |                 | b.  has been previously submitted under 35 U.S.C. 154(d)(4).  |  |                                 |  |  |  |  |  |  |  |
|   |                 | c.  The International Application was filed in English.   |  |                                 |  |  |  |  |  |  |  |
| 7.  |                 | Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))  |  |                                 |  |  |  |  |  |  |  |
|   |                 | a.   are attached hereto (require   | ed only if not communicated by the                         | International Bureau).          |  |  |  |  |  |  |  |
|   |                 | b.  have been communicated by the International Bureau.   |  |                                 |  |  |  |  |  |  |  |
|   |                 | c. $\square$ have not been made; however, the time limit for making such amendments has NOT expired.  |  |                                 |  |  |  |  |  |  |  |
|   |                 | <del>_</del>  | d.  have not been made and will not be made.               |                                 |  |  |  |  |  |  |  |
| 8.  |                 | An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).   |  |                                 |  |  |  |  |  |  |  |
| 9.  | $\boxtimes$     | An oath or declaration of the inventors (35 U.S.C. 371(c)(4)).  |  |                                 |  |  |  |  |  |  |  |
| 10.   |                 | An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).                    |  |                                 |  |  |  |  |  |  |  |
| Item  | s 11 1          | to 20 below concern document(s)   | or information included:                                   |                                 |  |  |  |  |  |  |  |
| 11.   |                 | An Information Disclosure Stateme   |  |                                 |  |  |  |  |  |  |  |
| 12.   |                 | An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.   |  |                                 |  |  |  |  |  |  |  |
| 13.   |                 | A preliminary amendment.  |  |                                 |  |  |  |  |  |  |  |
| 14.   |                 | An Application Data Sheet under 37 CFR 1.76.  |  |                                 |  |  |  |  |  |  |  |
| 15.   |                 | A substitute specification.   |  |                                 |  |  |  |  |  |  |  |
| 16.   | $\boxtimes$     | A power of attorney and/or change of address letter.  |  |                                 |  |  |  |  |  |  |  |
| 17.   |                 | A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.  |  |                                 |  |  |  |  |  |  |  |
| 18.   |                 | A second copy of the published international application under 35 U.S.C. 154(d)(4).   |  |                                 |  |  |  |  |  |  |  |
| 19.   |                 | A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).   |  |                                 |  |  |  |  |  |  |  |
| 20.   | $\boxtimes$     | Other items or information: Transmittal and Statement of Power of Attorney  |  |                                 |  |  |  |  |  |  |  |
|   |                 |   |  |                                 |  |  |  |  |  |  |  |

|      | U.S. APPLICATION NO. (if known 10/535,136  | S. APPLICATION NO. (if known, see 37 C.F.R. 1997)  (if known, see 37 C.F.R. 1997) |  |                            | DRNEY'S DOCKET NUMBER 123926     |                      |  |  |
|------|--|---|--|----------------------------|----------------------------------|----------------------|--|--|
|      | 21. The following fees are submitted:  |   |  |                            | CALCULATIONS                     | PTO USE ONLY         |  |  |
|      | . —  |   |  |                            |                                  |                      |  |  |
|      |  |   |  |                            |                                  |                      |  |  |
|      | BASIC NATIONAL FEE (3  |   | \$                                       |                            |                                  |                      |  |  |
|      | SEARCH FEE (37 CFR 1.4   | 192(b)(1)-(3)):   |  |                            | \$                               |                      |  |  |
|      | International search fee (37   | 7 CFR 1.445(a)(2)) pa   |  |                            |                                  |                      |  |  |
|      | International search report<br>the search fee is paid  |   |  |                            |                                  |                      |  |  |
| •    | All situations not provided  | for above   |  |                            |                                  |                      |  |  |
| 9    | EXAMINATION FEE (37 C  | FR 1.492(c)(1)-(2)):  | \$                                       |                            |                                  |                      |  |  |
| ٠    | International preliminary ex<br>IPEA and favorable as to n<br>for all claims presented in t  |   |  |                            |                                  |                      |  |  |
|      | All situations not provided  | for above   |  |                            |                                  |                      |  |  |
|      | Surcharge of \$130.00 for fu<br>earliest claimed priority dat  | urnishing the oath or   | \$130                                    |                            |                                  |                      |  |  |
|      | TOTAL PAGES OF<br>APPLICATION OVER<br>100 ( - 100)   | ÷ 50  | = †                                      | x 250 =                    | \$                               |                      |  |  |
|      | †round up to next intege   | ,   |  |                            |                                  |                      |  |  |
|      | CLAIMS   | NUMBER FILED  | NUMBER EXTRA                             | RATE                       | \$                               | ·                    |  |  |
|      | TOTAL CLAIMS   | - 20  | =  | x 50.00 =                  | \$                               |                      |  |  |
|      | INDEPENDENT CLAIMS   | - 3   | ] =                                      | x 200.00 =                 | \$                               |                      |  |  |
|      | MULTIPLE DEPENDENT   |   | e)<br>TOTAL OF ABOVE (                   | + 360.00 =                 | \$<br>\$130                      |                      |  |  |
|      | Applicant claims small   | \$  |  |                            |                                  |                      |  |  |
|      | reduced by 1/2.  | Ψ   |  |                            |                                  |                      |  |  |
|      |  |   | \$130                                    |                            |                                  |                      |  |  |
|      | Processing fee of \$130.00 the earliest claimed priority   | for furnishing the Eng<br>date (37 CFR 1.492  | \$                                       |                            |                                  |                      |  |  |
|      |  |   | \$130                                    |                            |                                  |                      |  |  |
|      | Fee for recording the enclo<br>accompanied by an approp  | oriate cover sheet (37  | \$                                       |                            |                                  |                      |  |  |
|      |  | μ   | EES ENCLOSED =                           | \$130                      |                                  |                      |  |  |
| 06/8 | 7/2005 MKAYPAGH 00000093   | 10535136  |  |                            | Amount to be refunded:           | \$                   |  |  |
| Λ1 Ε | C±1617   | 130.00 OP   | -  |                            | charged:                         | \$                   |  |  |
| VI I |  |   | \$130.00 to cover the a                  | bove fees is enclosed      |                                  | ΙΨ                   |  |  |
|      |  | my Deposit Account  | No in the amou                           |                            |                                  | plicate copy of this |  |  |
|      | Deposit Accour   |   | t any overpayment to                     |                            |                                  |                      |  |  |
|      | d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. |   |  |                            |                                  |                      |  |  |
|      | NOTE: Where an appro<br>must be filed an   | priate time limit und<br>d granted to restore   | itton to revive (37 CFR 1.137(a) or (b)) |                            |                                  |                      |  |  |
|      | SEND ALL CORRESPON   | IDENCE TO:  |  |                            |                                  |                      |  |  |
|      | OLIFF & BERRIDG  | _,  |  |                            | 1                                |                      |  |  |
|      | Customer Numbe   | r: 25944  |  | NAME: Jame<br>REGISTRATIO  | s A. Oliff<br>ON NUMBER:    27,0 | 75                   |  |  |
|      | Date <u>June 22, 2005</u>  |   |  | NAME: Jesse<br>REGISTRATIO | e O. Collier<br>ON NUMBER: 53,8  | 39                   |  |  |
|      |  |   |  |                            | 30,0                             |                      |  |  |
|      |  |   |  | ,                          |                                  |                      |  |  |



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Hideo NEMOTO et al.

Application No.: 10/535,136

Filed: June 22, 2005

Docket No.: 123926

For: M

METHOD FOR SYNTHESIZING MACROSPHELIDES

## TRANSMITTAL OF POWER OF ATTORNEY AND STATEMENT UNDER 37 CFR § 3.73(b)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Submitted herewith is a Power of Attorney from the Assignee.

In compliance with 37 CFR §3.73(b), the undersigned hereby states that <u>LEAD</u> <u>CHEMICAL CO.</u>, <u>LTD.</u> is the assignee of the entire right, title and interest in the patent application identified above by virtue of an assignment from the inventors of the patent application identified above. A copy of the assignment is attached hereto.

The undersigned is authorized to act on behalf of the assignee.

In accordance with 37 CFR §1.36(a), submission of this Power of Attorney revokes any powers of attorney previously given.

ALL CORRESPONDENCE IN CONNECTION WITH THIS APPLICATION SHOULD BE SENT TO OLIFF & BERRIDGE, PLC, CUSTOMER NO. 25944, TELEPHONE (703) 836-6400.

Respectfully submitted,

Eric D. Morehouse Registration No. 38,565

JAO:EDM/cqc

Date: June 22, 2005